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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.  
11675.114In Re: Application of:  
Kei-Yu KoSerial No.  
08/846,671Filing Date  
April 30, 1997Examiner  
George A. GoudreauGroup Art Unit  
1763Title: **UNDOPED SILICON DIOXIDE AS ETCH STOP FOR SELECTIVE ETCH OF DOPED SILICON DIOXIDE**Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☒ the fee set forth in 37 CFR 1.17(p).

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## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

11675.114

In Re Application:

SEP 21 2001

Kei-Yu Ko

Serial No.

08/846,671

Filing Date

April 30, 1997

Examiner

George A. Goudreau

Group Art Unit

1763

UNDOPED SILICON DIOXIDE AS ETCH STOP FOR SELECTIVE ETCH OF DOPED SILICON

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of \$180.00 is attached. *check # 123 005*
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed.

☐ Charge the amount of☒ Credit any overpayment.☒ Charge any additional fee required.

## Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

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Signature

Typed or Printed Name of Person Signing Certificate

## Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

~~Jesus Juanos i Timoneda, Ph.D.~~

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Dated: September 21, 2001

US EXPRESS MAIL EL893521025 US

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Attorney for Applicant

Reg. 43,332



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PATENT APPLICATION  
Docket No: 11675.114

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of )  
Kei-Yu Ko )  
Serial No.: 08/846,671 )  
Filed: April 30, 1997 )  
For: UNDOPED SILICON DIOXIDE AS ETCH STOP )  
FOR SELECTIVE ETCH OF DOPED SILICON )  
DIOXIDE )  
Examiner: George A. Goudreau )

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

The Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

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the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

<u>U.S. Patent No.</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>
5,643,819	07-1997	Tseng	437	60
5,936,272	08-1999	Lee	257	306
5,897,352	04-1999	Lin et al.	438	255
5,792,689	08-1998	Yang et al.	438	253
5,712,202	01-1998	Liaw et al.	438	253
5,700,731	12-1997	Lin et al.	438	381
5,731,130	03-1998	Tseng	430	316

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 21<sup>st</sup> day of September, 2001.



**022901**

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Respectfully submitted,

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